# SILENCE: Protecting privacy in offloaded speech understanding on wimpy devices

## Abstract



## <span id="page-0-1"></span><sup>20</sup> 1 Introduction

21 Privacy concern for cloud speech service The volume of speech data uploaded to the cloud for spoken language understanding (SLU) is steadily increasing [\[1,](#page-9-0) [12,](#page-9-1) [2\]](#page-9-2), particularly in ubiquitous wimpy devices where textual input is inconvenient [\[41,](#page-11-0) [17,](#page-9-3) [3\]](#page-9-4), e.g., home automation devices [\[32\]](#page-10-0), smartwatches [\[37\]](#page-11-1), telehealth sensors [\[22\]](#page-10-1) and smart factory sensors [\[29\]](#page-10-2) . However, exposing raw speech signal to the cloud raises privacy concerns [\[42\]](#page-11-2). It was revealed that contractors regularly listened to confidential details in Siri recordings to improve its accuracy [\[4\]](#page-9-5). This included private discussions, medical information, and even intimate moments.

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Figure 1: Illustration of offloaded speech understanding on wimpy devices and its privacy protection.

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 There are many aspects of potential privacy leakage in cloud-based SLU. Among them: biometric or contextual privacy leakage have been well studied and somewhat solved by removing information relevant to such tasks without compromising the SLU accuracy [\[18,](#page-9-6) [35\]](#page-10-3); transcript protection (espe- cially sensitive entities) is more challenging since it is deeply entangled with the SLU task itself. As shown in Figure [1,](#page-0-0) this paper focus on ensuring that cloud-based systems could efficiently classify the intent of SLU task (e.g., scheduling appointments or controlling home devices) while refraining from identifying the concrete entities (e.g., unintended names or passwords) in the spoken utterance, i.e., high word error rate (WER) of Automatic Speech Recognition (ASR) task. This is also a setting commonly used in speech privacy protection [\[44,](#page-11-3) [10,](#page-9-7) [16,](#page-9-8) [42,](#page-11-2) [15\]](#page-9-9).

**Prior approaches** A prevalent method for private speech processing is employing *encoders*<sup>[1](#page-1-0)</sup> based on disentanglement representation learning [\[44,](#page-11-3) [10,](#page-9-7) [28,](#page-10-4) [34\]](#page-10-5), as illustrated in Figure [1\(](#page-0-0)b). Those en- coders extract the speech representations using pre-trained acoustic models, e.g., wav2vec [\[40,](#page-11-4) [10\]](#page-9-7), conformer [\[26,](#page-10-6) [34\]](#page-10-5) and Preformer [\[20,](#page-10-7) [44\]](#page-11-3). Furthermore, they promote representation disentangle- ment through adversarial training [\[25\]](#page-10-8). For example, PPSLU [\[44\]](#page-11-3) uses a 12-layer transformer-based Preformer as its encoder.

 As a result, disentanglement-based encoders still demand considerable computational resources, often exceeding tens of GFLOPs, to achieve effective disentanglement [\[11\]](#page-9-10). They are also memory- intensive, often comprising tens of millions of parameters. Consequently, they are unsuitable for embedded devices with limited memory. Moreover, it takes time-consuming adversarial training to disentangle the encoded representation for each specific SLU task. This aspect limits the flexibility and scalability for emerging SLU tasks. More motivating details will be presented in [§2.2.](#page-2-0)

 In this paper, we aim to achieve the real-time, privacy-preserving offloading of speech understanding task on wimpy devices like STM32H7 microcontroller [\[5\]](#page-9-11) with only 1MB RAM. This goal neces- sitates a novel encoder design that must be both lightweight and effective in filtering out sensitive information, as illustrated in Figure [1\(](#page-0-0)c).

 Our solution We therefore present SILENCE, a SImpLe ENCodEr designed for efficient privacy- preserving SLU offloading. It is based on the *asymmetric dependency* observation: SLU intent extraction (e.g., scenario identification) typically requires only long-term dependency knowledge across the entire utterance, while ASR task (e.g., recognizing individual words or phrases) needs short-term dependency, as confirmed by our experiments in [§3.1.](#page-3-0) Based on it, SILENCE strategically partitions the utterance into several segments, selectively masking out the majority to enhance pri- vacy by obscuring short-term details, without significantly damaging the long-term dependencies. The processed audio waveform is then transmitted to the cloud for SLU intent analysis. Addition- ally, we integrate a differential mask generator, inspired by interpretable learning methods [\[19\]](#page-9-12), to optimize performance by automatically identifying how many and which segments to mask.

 Results We deploy SILENCE on the STM32H7 microcontroller [\[5\]](#page-9-11) and assess its performance using the SLURP dataset [\[13\]](#page-9-13) in both black-box and white-box attack environments. SILENCE achieves 81.2% intent classification accuracy on SLURP, surpassing previous privacy-preserving SLU systems by up to 8.3%. Regarding privacy protection, SILENCE offers comparable security to earlier systems, with a word error rate of up to 81.6% and an entity error rate of 90.7% under malicious ASR attacks. Even against white-box attacks, where attackers are strongly assumed to have the same encoder structure and weights as SILENCE, plus partial data from malicious clients, SILENCE maintains 67.3% word error rate and 64.3% entity error rate. Additionally, SILENCE proves to be resource-efficient and feasible for wimpy devices, using only 394.9KB of memory and taking just 912.0ms to encode a 4-second speech signal. Integrated with RPI-4B for a fair 73 comparison, SILENCE uses up to  $134.1 \times$  less memory and operates up to  $53.3 \times$  faster than prior systems. The accuracy of SILENCE is only 7% lower than unprotected SLU systems.

Contribution We have made the following contributions.

 • Based on the observation of asymmetric dependency between SLU and ASR tasks, we propose SILENCE, a simple yet effective encoder system for privacy-preserving SLU of-floading.

<span id="page-1-0"></span><sup>&</sup>lt;sup>1</sup>Note that these encoders are not specifically transformer encoders; rather, they can be implemented using any NNs to encode speech signals.

- We are the first to retrofit interpretable learning methods to automatically configure the masking process for a better balance between privacy and utility in speech understanding tasks.
- We evaluate SILENCE on a wimpy microcontroller unit and demonstrate its effectiveness under various attack scenarios.

## <span id="page-2-1"></span>84 2 Related Work and Background

#### 2.1 Privacy-preserving SLU

 Spoken Language Understanding (SLU) is a critical component of modern voice-activated systems, responsible for interpreting human speech and translating it into structured, actionable commands. For instance, when a user says, "Set a meeting for tomorrow at 10 AM," the SLU system might map 89 this to a structured intent such as {scenario: Calendar, action: Create\_entry}.

 Evolution of SLU Systems The evolution of SLU systems has seen a shift from traditional two- component systems, comprising ASR and Natural Language Understanding (NLU), to modern end- to-end neural networks [\[39,](#page-11-5) [27\]](#page-10-9). These advanced systems bypass the intermediate textual represen- tation and directly map speech signals to their semantic meaning, enhancing efficiency and reducing error propagation. A typical end-to-end SLU model features an encoder, often with convolution and attention-based elements, and a decoder, including a transformer decoder and a connectionist tem- poral classification decoder. Many SLU systems incorporate encoders from pre-trained ASR models like HuBERT [\[45\]](#page-11-6), replacing the original ASR decoder with one tailored for SLU tasks.

 Threat Model Our threat model aligns with prior work [\[44,](#page-11-3) [10\]](#page-9-7) where users (the victims) actively offloads their audio data to the cloud server (the adversary) for intended SLU tasks. Upon receiving the data, the adversary may employ automatic speech recognition to transcribe the audio and identify private entities [\[16,](#page-9-8) [42,](#page-11-2) [15\]](#page-9-9). Note that the transcriptions are often exceedingly detailed, containing much more information than the users intend to disclose. The goal of this paper is to ensure that the victims can reliably obtain the predefined SLU intent from the adversary, while preserving the adversary from discerning sensitive details or private entities in the transcript.

 For instance, home pods might capture recordings of confidential daily interactions alongside ex- plicit commands, presenting a paradigmatic case for SILENCE. Without SILENCE, over 80% of our private daily conversations could be automatically recognized and stored for unforeseen usage as will be analyzed in [§5.1.](#page-6-0)

#### <span id="page-2-0"></span>2.2 Inefficiency of Existing Approaches

 Privacy-preserving methods Crypto-based approaches, such as HE [\[48\]](#page-11-7) and MPC [\[24\]](#page-10-10), have been proposed to provide encrypted computation. Unfortunately, they are technically slow and thus im- practical for deployment on wimpy audio devices due to the significant increase in computation and communication complexity. For example, MPC-based PUMA [\[21\]](#page-10-11) takes 5 minutes to com- plete one token inference, which is far too slow for real-time. Voice conversion is another method to protect speech content. Prεεch [\[9\]](#page-9-14) integrates voice conversion with GPT-based generated noise protect privacy, but it is far from feasible for deployment on wimpy devices. Traditional periph- eral devices, such as ultrasonic microphone jammers (UMJ), are designed to obscure raw speech by inserting non-linearity noise, thereby preventing illegal eavesdropping[\[23,](#page-10-12) [15\]](#page-9-9); however, they also corrupt speech semantics as well. A emerging and prevailing strategy is disentangling-based en- coders [\[10,](#page-9-7) [44,](#page-11-3) [28\]](#page-10-4); they aim to create a disentangled and hierarchical representation of the speech signal devoid of sensitive data. But we reveal their performance issue next.

 We conduct preliminary experiments to measure the resource consumption of the disentangling- based encoder of a pre-trained SLU model on a Raspberry Pi 4B (RPI-4B) [\[6\]](#page-9-15) and Jetson TX2 (TX2) [\[7\]](#page-9-16). Our key observation is that disentangling-based privacy-preserving SLU system is too resource-intensive for practical deployment. As illustrated in Figure [2,](#page-3-1) a disentanglement encoder consumes 648.7MB memory and 12.8s for complete one inference on RPI-4B. Even in the strong TX2 with GPU, the encoder still takes 593.0ms to complete one inference. Considering the network latency, the end-to-end latency of the disentangling-based SLU offloading system only saves 0.7%

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Figure 2: Cost of disentangling-based encoders [\[44\]](#page-11-3) for a 4-second audio inference.

wall-clock time compared to the OnDevice inference without offloading, with a similar memory

footprint over 500M.

 *Implications* Disentangling-based encoders is slow and memory-intensive due to the complex en-coder structure designed to separate sensitive information from the speech signal. Given the limited

 resource of wimpy devices, it is not practical for common privacy-preserving SLU scenarios. To enable practical privacy-preserving SLU, the encoder structure and the inference process need to be

simplified.

## <span id="page-3-3"></span>136 3 SILENCE Design

#### <span id="page-3-0"></span>3.1 System Design and Rationales

We introduce SILENCE to efficiently scrub raw audio for privacy-preserving SLU, as depicted in

Figure [3.](#page-3-2) The key idea of SILENCE is simple and novel: it masks out a portion of audio segments

before sending them to the cloud for SLU tasks. This design is based on an unique observation

shown in Figure [4\(](#page-4-0)c): when a portion of audio segments is masked out, the ASR model becomes

<span id="page-3-2"></span> incapable to recognize the phonemes in the masked frames, while the SLU model can still recognize the intent.



**Intent: {scenario: Calendar, action: Create\_entry}**

Figure 3: SILENCE overview. Red hard line represents the long-term dependency, while the green dotted line represents the short-term dependency.

 Design rationale Why is SILENCE able to protect the sensitive entity privacy while maintaining SLU accuracy? This capability is rooted in the *asymmetrical dependency* between the ASR and SLU task.

 Speech is composed of many meta phonemes, and the generation of a single meta phoneme depends on its adjacent frame [\[42\]](#page-11-2). *Dependency* is defined as the length of frame that a model's output depends on. Figure [4\(](#page-4-0)a) shows each phoneme is mainly dependent on a few frames, indicating short- term dependency. This phenomenon is referred to as "peaky behavior" in the ASR literature [\[47\]](#page-11-8). In contrast, an SLU model utilizes an attention-based decoder [\[45\]](#page-11-6) to capture the relationship between the entire utterance and the intent, implying that the intent is long-term dependent on the whole utterance.

 Formally, SILENCE is a simple encoder based on asymmetrical dependency-based masking. This 155 simple masking encoder is defined as:  $\hat{x} = x \odot \mathbb{Z}$ , where x is the input audio signal,  $\odot$  represents 156 the element-wise multiplication,  $\hat{x}$  is the masked audio signal and  $\mathbb{Z}$  is the binary masking vector 157 with the same dimension as x.  $\mathbb Z$  consists of k uniform portion, with all 0s or 1s in one portion

<span id="page-4-0"></span>

Figure 4: Foundation of SILENCE: asymmetrical dependency. (a). ASR task is short-term dependent on the peaky phoneme probability. (b). SLU task is long-term dependent on knowledge from the whole utterance. (c). Empirical results.

<sup>158</sup> to mask-out or preserve the complete adjacent frames, respectively. This simple encoder forms <sup>159</sup> the basis of SILENCE's efficiency and privacy-preservation capacity, enabling secure offloading of <sup>160</sup> speech understanding tasks on wimpy devices.

 The configuration challenges: Figure [4\(](#page-4-0)c) demonstrates that the ratio of masked portion plays a crucial role in balancing the privacy (WER-ASR) and utility (ACC-SLU). Currently, SILENCE employs a trivial masking mechanism, necessitating clients to undertake a time-intensive hyper- parameter adjustment about the extent and location of masking. Incorrect masking configurations can result in significant loss of global long-term dependency, negatively affecting SLU accuracy, or insufficient masking of sensitive information, thus compromising privacy. Therefore, we face critical questions: how many and which portions should be masked?

#### <span id="page-4-1"></span><sup>168</sup> 3.2 Online Configurator for SILENCE

 To address these challenges, we derive a differential mask generator from the interpretable learn- ing [\[19\]](#page-9-12) as a online configurator for SILENCE. This automatically generate the masking vector Z. The mask generator is trained to identify how many and which portions to mask, optimizing the privacy-utility balance.

<sup>173</sup> Differentiable mask generator The configurator model aims to minimize the discrepancy between <sup>174</sup> masked and original output by generating a mask Z. Formally, we define the number of unmasked 175 portions as  $\mathcal{L}_0$  loss:

$$
\mathcal{L}_0(\phi, x) = \sum_{i=1}^n \mathbf{1}_{\left[\mathbb{R}_{\neq 0}\right]} \left(\mathbb{Z}_i\right) \tag{1}
$$

176 where  $\phi$  is the mask generator,  $\mathbf{1}(\cdot)$  is the indicator function. We minimize  $\mathcal{L}_0$  for dataset  $\mathcal{D}$ , ensuring <sup>177</sup> that predictions from masked inputs resemble those from the origin model:

$$
\min_{\phi} \sum_{x \in \mathcal{D}} \mathcal{L}_0(\phi, x) \tag{2}
$$

$$
\text{s.t. } \mathcal{D}_\star[y\|\hat{y}] \le \gamma \quad \forall x \in \mathcal{D} \tag{3}
$$

- 178 where  $\hat{y} = f(\hat{x})$ , y is the tokenized label,  $D_{\star}[y||\hat{y}]$  is the KL divergence and the margin  $\gamma \in \mathbb{R}_{>0}$  is <sup>179</sup> a hyperparameter.
- 180 Given that  $\mathcal{L}_0$  is discontinuous and has zero derivative almost everywhere, and the mask generator  $\phi$ <sup>181</sup> requires a discontinuous output activation (like a step function) for binary masks, we utilize a sparse <sup>182</sup> relaxation to binary variables [\[30,](#page-10-13) [14\]](#page-9-17) instead of the binary mask during training.
- <sup>183</sup> Holistic workflow As shown in Figure [5,](#page-5-0) SILENCE encompasses two phases:
- <sup>184</sup> (1) *Offline phase*: (1a) First, SILENCE trains a differentiable mask generator. The client selects a <sup>185</sup> mask generator model, potentially a submodule of a pre-trained ASR model, such as HuBERT's

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Figure 5: SILENCE workflow. (1) *Offline phase*: (**1a**) Training mask generator and (**1b**) adapting cloud SLU model to it; (2) *Online phase*: Conducting could inference with the masked x. Only masked input audio x and insensitive intent label y are exposed to the cloud.

 CNN feature extractor. A small gate model is then integrated with this submodule. The combined model processes the input audio and generates a mask. This mask selectively conceals parts of the input, ensuring retention of only vital SLU information while hiding sensitive data. The masked input is then forwarded to either a trusted cloud service or a local SLU model for obtaining masked output. The mask generator is fine-tuned to minimize the discrepancy between the masked output logits and the original intent, as defined in Equation (1-3).

 (1b) Second, SILENCE adapts the cloud model . Here, the client forwards the masked input and a specific SLU intent (e.g., "set alarm") to the cloud-based SLU model. The model undergoes fine- tuning to adapt to the masked inputs. This process includes adjusting the model parameters for accurate recognition and response to SLU commands based on the masked input.

<sup>196</sup> (2) *Online phase*: In online speech understanding, the client sends the masked input to the cloud <sup>197</sup> SLU model. Using the adapted model, the cloud-based SLU accurately identifies and executes the <sup>198</sup> intended SLU action or response.

 Configurator cost analysis Training the differentiable mask generator is affordable for the client. Our experiments indicate that convergence is achieved with approximately 200 audio samples, equivalent to 600 seconds of audio. This process takes up to 30 seconds on an A40 GPU. Adapting the SLU model to each mask generator is a one-pass effort. This adaptation is relatively trivial, espe- cially when starting from a fine-tuned SLU model rather than building from scratch. This aspect of the process incurs minimal cost compared to the training of the cloud SLU model. Moreover, these costs can be amortized over a large number of edge users in the long run, making it an economically viable solution.

207 Remark Note that the mask generator is not developed for tagging sequences at a semantic level. Rather, its design focuses on identifying segments that are more relevant to the SLU task. This task is essentially a relatively straightforward binary classification problem, which is proven to be effective in prior interpretable learning literature [\[19,](#page-9-12) [14\]](#page-9-17) and light-weight enough for real-time inference.

## <span id="page-5-1"></span>211 4 Implementation and Methodology

 We have fully implemented the SILENCE prototype atop SpeechBrain [\[38\]](#page-11-9), a PyTorch-based and unified speech toolkit. As prior work [\[45\]](#page-11-6), we use SpeechBrain to train the differential mask gener- ator and simulate the cloud training process. After that, we deploy the trained mask generator into the embedded devices and evaluate the end-to-end performance.

**Hardware and environment** Offline training is simulated on a server with 8 NVIDIA A40 GPUs. The trained mask generator is deployed into the STM32H7 [\[5\]](#page-9-11) or Raspberry PI 4 (RPI-4B) [\[6\]](#page-9-15). STM32H7 is a wimpy microcontroller with 1MB RAM. RPI-4B is a popular development board with 4GB RAM. We embed the approaches not feasible to fit in the STM32H7 into the RPI-4B.

<sup>220</sup> Models We design four types of mask generator structures: (1) Random: a random binary vector <sup>221</sup> generator with 50% portion masked; (2) SILENCE-S: a learnable mask generator with only one MLP  gate; (3) SILENCE-M: a learnable mask generator with one HuBERT encoder layer and the gate; (4) SILENCE-L: a learnable mask generator with three HuBERT encoder layers and the gate. As for the cloud SLU model, we simulate it using the SoTA end-to-end SLU model [\[45\]](#page-11-6). It replaces the ASR decoder of pre-trained HuBERT with SLU attentional decoder.

 Dataset and Metrics We run our experiments on SLURP [\[13\]](#page-9-13) with 102 hours of speech. SLURP's utterances are complex and closer to daily human speech. We select scenario classification accu- racy to measure the SLU understanding performance (ACC-SLU). Following prior work [\[44\]](#page-11-3), we choose large-scale English reading corpus LibriSpeech [\[33\]](#page-10-14) for a multi-task protection scenario. In the multi-task protection scenario, not only the SLU command utterance (SLURP) but also the background or the subsequent utterance (LibriSpeech) are uploaded to the cloud. WER is used to measure the attack performance. More specifically, we utilize WER-SLU to measure the attacker's capacity to recognize the word information in the uploaded SLU audio itself, and WER-ASR as the WER of recognized accompanying audio, i.e., LibriSpeech dataset. We also report the private entity recognition error rate (EER) to ensure that the cloud model is not able to recognize the private information in the speech signal. As for latency, we sequentially fed test audios into the local model  $237$  without any window processing<sup>2</sup> and recorded the average forward time as the local execution time.

 Baselines We compare SILENCE to the following alternatives: (1) OnDevice means the cloud SLU model is downloaded and run locally on the client device. (2) AllOffload means the raw audio is uploaded to the cloud for SLU inference. (3) VAE [\[10\]](#page-9-7) is the vanilla variational auto-encoder method that uses adversarial training to disentangle the private information from speech signal. (4) PPSLU [\[44\]](#page-11-3) is the state-of-the-art disentangling-based SLU privacy-preserving system, which uses 12 transformer layers to separate the SLU information into a part of the hidden layer and only sends those hidden layers to the cloud for SLU inference.

245 Attack scenarios. We use three attacks encompassing both black-box and white-box attacks: (1) Azure represents a black-box attacker scenario, in which the masked audio is transmitted to Azure [\[31\]](#page-10-15) for automatic speech recognition. (2) Whisper simulates a SoTA cloud-based ASR 248 model. This black-box attacker uses the pre-trained  $Whisper.medium_en$  model [\[36\]](#page-10-16), directly downloaded from HuggingFace [\[46\]](#page-11-10). (3) Whisper(White-box) constitutes a white-box attack. Here, we hypothesize that certain users are malicious and disclose the mask generator's structure and weights, along with their own audio data, to the Whisper attack model. Whisper(White-box) 252 then utilizes this collected data from malicious users to adapt the pre-trained  $Whisper.medium/en$ model to the specific masking pattern.

254 Hyper-parameters During the offline phase in Figure [5,](#page-5-0) we use the Adam optimizer with a learning rate of 1e-5 and a batch size of 4. For the inference step, we use the batch size of 1 to simulate the real streaming audio input scenario. The end-to-end cloud SLU latency is measured by invoking 257 Azure APIs following previous work [\[43\]](#page-11-11). KL threshold  $\lambda$  is set as 0.15 for all mask generators. Attack model is set as Whisper without special declaration.

## <span id="page-6-2"></span>5 Evaluation

#### <span id="page-6-0"></span>5.1 End-to-end performance

 SILENCE achieves comparable accuracy performance and privacy protection capacity to pre- vious encoders. As shown in Figure [6,](#page-7-0) we compare the accuracy of SILENCE with all baselines. OnDevice offloads no signals to the cloud and thus has the best privacy protection (WER=100). It is observed that SILENCE could achieve up to 81.1% accuracy, with less than 7% accuracy loss compared to unprotected AllOffload and local OnDevice SLU model. Its rationale is that we mainly mask the short-dependent frames that does not significantly affect the SLU performance. We also compare the performance of SILENCE with the SoTA privacy-preserving SLU system, i.e., PPSLU [\[44\]](#page-11-3). SILENCE achieves 7.2% higher accuracy than PPSLU which tries to apply complex non- linear transformation to the hidden layer to prevent malicious re-construction, but this might also damage part of the SLU information. In terms of privacy preservation, our learnable mask generator achieves up to 78.6% WER using SILENCE-L, indicating a privacy-preserving capacity on par with

<span id="page-6-1"></span><sup>&</sup>lt;sup>2</sup>The average duration of test SLU snippets is 2.8 seconds, with a maximum of 21.5 seconds, which is shorter than the maximum input window of speech models (e.g., 30 seconds for Whisper [\[36\]](#page-10-16)).

<span id="page-7-0"></span>

Figure 6: Performance of different Figure 7: SILENCE privacy-preserving capacity under difprivacy-preserving SLU approaches. ferent attack models.

inference with much lower delays and m 272 PPSLU. Furthermore, we complete the inference with much lower delays and memory footprint as  $\mathbf{t}$  thus has the best privacy protection (WERE=100). <sup>273</sup> will be shown in Figure [9.](#page-7-1)

As illustrated in Figure 7, SILENCE increases the 275 SLU-WER from 14.7% to 78.6% under the attack model Whisper. As for the online attack model about 277 details, we find that over 50% of the sent audios are tagged as " $ResultReason. No Match$ ", which 278 means audios are recognized as null utterances by the Azure ASR model. Whisper (White-box) 280 weights as the SILENCE. We still achieve more than 50% SLU-WER under this attack model. This ned to fill some of the missing free Because masking the short-dependent frames fundamentally possible to re-construct the phoneme without knowing any esa speech information. In the last subfigure, we show the high entity error rate to demonstrate that the significantly affect the SLU performance. We also compare 276 Azure, SILENCE increases the SLU-WER from 14.7% to 81.6%. According to our returned service or structure and 281 is because even Whisper (White-box) is fine-tuned to fill some of the missing frames, it still could **k models.** As illustrated in Figure 7, SILENCE increases the 279 is a white-box attack model, which means the attacker has the same mask generator structure and  $80^\circ$  accuracy, with less than 8% accuracy loss compared to  $\sim$ frames. Because masking the short-dependent frames fundamentally It is not possible to re-construct the phoneme without knowing any 274 SILENCE is resistant to different attack models. As illustrated in Figure [7,](#page-7-0) SILENCE increases the 282 not recover the private missing frames. Because masking the short-dependent frames fundamentally 283 destroys the raw audio signal. It is not possible to re-construct the phoneme without knowing any <sup>285</sup> private entity is not leaked.

uracy trade-off with a larger mask generator. We explore of SILENCE under different mask generator structures. As shown in trols the trade-off between the privacy and utility. When  $\gamma$  is small, 289 the mask generator is more conservative, leading to higher the utility a lower the masking portion. ower rate of masking portions leads to higher possibility of 291 privacy entity leakage. When  $\gamma$  is large, the mask generator is more aggressive, enhancing privacy. ŋ 292 Another way to achieve more practical privacy-utility balance is using a more complex mask gener-L. It achieves higher utility with the same privacy level compared to acy-accuracy trade-off with a larger mask generator. We explore on 3, a lower rate of masking portions leads to higher possibility of 294 SILENCE-S, albeit with less efficiency, as shown in § [5.2.](#page-7-2)  $S$  indicating a privacy-preserving capacity on particle capacity on particle capacity on particle capacity on particle capacity on  $\mathcal{C}$ 286 SILENCE scales to better privacy-accuracy trade-off with a larger mask generator. We explore of SILENCE under different mask generator structures. As shown in 290 As we have discussed in Section [3,](#page-3-3) a lower rate of masking portions leads to higher possibility of 293 ator structure, e.g., SILENCE-L. It achieves higher utility with the same privacy level compared to  $\frac{1}{287}$  the impact of the threshold γ of SILENCE under different mask generator structures. As shown in demonstrate that the private entity is not leaked. 288 Figure [8,](#page-7-1) the threshold  $\gamma$  controls the trade-off between the privacy and utility. When  $\gamma$  is small,

#### <span id="page-7-2"></span><sup>295</sup> 5.2 System cost

296 SILENCE protects the private entities efficiently as shown in Figure [9.](#page-7-1) Different from prior encoders <sup>297</sup> using complex disentanglement model, SILENCE only requires a light-weight mask generator to <sup>298</sup> scrub the private information. The size of this generator varies according to different mask gener-<sup>299</sup> ator structures. For the smallest mask generator, SILENCE-S, it only requires a 394.9KB memory <sup>333</sup> and successfully embed into the wimpy STM32H7 with 2MB RAM. SILENCE is<br>300 footprint, and could successfully embed into the wimpy STM32H7 with 2MB RAM. SILENCE is 301 efficient not only in terms of memory footprint but also in latency. SILENCE-S completes the local 302 encoding with only 912.2ms on the wimpy STM32H7. For a fair comparison, we embed SILENCE-S

<span id="page-7-1"></span>

Figure 8: Effect of threshold with different mask generators

(a) Memory footprint

(b) End-to-end latency



303 into RPI-4B and find that it is  $18.1 \times$  faster and  $134.1 \times$  less memory footprint than PPSLU. Even with the strong mask generator SILENCE-L, SILENCE achieves up to 7.5 $\times$  lower encoding latency and 305 consumes  $1.9 \times$  less memory compared to OnDevice.

## <span id="page-8-1"></span>6 Conclusion and Discussions

 SILENCE is an efficient and privacy-preserving end-to-end SLU system based on the asymmetrical dependency between ASR and SLU. SILENCE selectively mask the short-dependent sensitive words while retaining the long-dependent SLU intents. Together with the differentiable mask generator, SILENCE shows superior end-to-end inference speedup and privacy protection under different attack scenarios.

312 Limitations: While for the first time, SILENCE provides a feasible privacy-preserving solution for wimpy audio devices, it introduces a huge design space for mask generator structures. The mask generator is akin to a lock; a genius lock design can protect privacy in the smallest of spaces, but a poor lock design can be bulky and easily broken. In this work, we simply inherit the SLU model structure and instantiate three sub-models from it to demonstrate better efficiency than previous encoders. Researchers can explore other structures for a better privacy-accuracy-efficiency trade-off. We will open-source all the code and checkpoints to facilitate further research in this direction.

 Some other potential limitations about lossy privacy-preserving capacity, the need for fine-tuning the cloud SLU model and the scope of defended threat model are thoroughly discussed below for further clarification.

 Is current privacy-preserving capacity enough? The quantitative WER 80% is considered secure enough, as previous encoders have strived to reach that level [\[44,](#page-11-3) [10\]](#page-9-7). And some SLU transcripts contain the intent word, so the successfully inferred word might be a non-private intent word. For instance, in one test audio transcript, "I want some jazz music to play", the intent is 'scenario': 'play', 'action': 'music'. The interpretation of the malicious cloud ASR, "all subjects were used to play", is acceptable since the predicted phrase "to play" contains no private information. This scenario is typical for most audios; we managed to preserve 90% of the private entities in Figure [6.](#page-7-0) 329 This achievement matches the SoTA in privacy-preserving capacity, with up to  $30\times$  lower latency 330 and  $100\times$  memory reduction.

331 Why and how to fine-tune the cloud SLU Model? Initially, the cloud SLU is a generic pre-trained speech model lacking the capability to accurately understand personalized user intent. It is crucial 33 to fine-tune the cloud SLU for better personalized intent understanding<sup>3</sup>. Secondly, while short- dependent masking does not eliminate intent information, it does impact specific details within the attention map, as depicted in Figure [4\(](#page-4-0)b). Fine-tuning the cloud SLU model helps mitigate this impact and enhances the understanding of the user's intent.

 Currently, cloud service providers have already offered APIs that allow users to fine-tune their per- sonalized cloud speech model. For example, Azure has introduced the Custom Speech service [\[8\]](#page-9-18), which enables users to fine-tune the model for improved personalized outcomes. In this work, we simulate the tunable cloud model using the open-source model to perform more detailed analysis, such as different attacking scenarios

342 Could private semantic detection attack be prevented? SILENCE does not initially target private semantic detection attacks. For example, eavesdropping on specific financial words and political framing are *out-of-scope*. However, we can offer defense capabilities against them as discussed below. The mask generator, controlled by the user, is trained to scrub utterances unrelated to the public intent. Private entities not predefined by the user are almost never included in the masked audio. Therefore, even if an attacker possesses a well-defined semantic and the mask generator, training the detection threat model is challenging because the synthetic masked audio lacks clear representations of the private semantic. Consequently, though not initially designed for this purpose, our mask generators successfully discourage the malicious cloud provider from detecting private semantics.

<span id="page-8-0"></span>Note that a general speech model is sufficient for training the local mask generator in Figure [5](#page-5-0) step (1a), as the focus is not on generating precise intent but rather on obtaining a coarse-grained distribution of numerical logits to facilitate mask generator training.

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Figure 1: Mask generator and different attack scenarios, including both passive and active attacks.



Figure 2: Illustration of the generated masks on audios selected randomly from SLURP. Local utterances are efficiently disrupted according to different transcripts patterns as highlighted within.



Table 1: Potential attack Word Error Rate (WER) under different attack scenarios.



Figure 3: The reconstructed waveforms of different active inpainting attacks. Dataset: SLURP.